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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

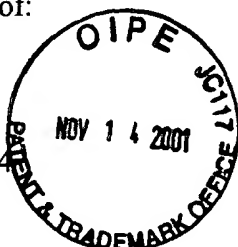
RAO et al.

Serial No.: 09/922,604

Filed: August 2, 2001

Atty. File No.: 4172-36

For: "METHOD OF SYNTHESIZING  
DIKETOPIPERAZINES"



Group Art Unit:

Examiner:

INFORMATION DISCLOSURE  
STATEMENT

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Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby provides a copy of each of the documents identified on the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, are considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified patent application.

Respectfully submitted,

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Date: Nov 14, 2001

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 4172-36	SERIAL NO. 09/922,604
	APPLICANT RAO et al.	
	FILING DATE August 2, 2001	GROUP ART



## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.
	1	3,928,330	12/23/75	Ramey et al.	260	242	
	2	4,289,759	9/15/81	Heavner et al.	424	177	
	3	4,312,987	1/26/82	Beck	544	346	
	4	4,331,595	5/25/82	Heavner et al.	260	112.5	
	5	4,694,081	9/15/87	Miller et al.	544	385	
	6	4,940,709	7/10/90	Shimazaki et al.	514	253	
	7	5,817,751	10/6/98	Szardenings et al.	530	317	
	8	09/922,234					8/2/01

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	9	0 043 219B1	10/5/83	Europe				
	10	0 216 746B1	10/24/90	Europe				
	11	JP3176478A2	7/31/91	Japan (Abstract)				
	12	JP63290868A2	11/28/88	Japan (Abstract)				
	13	WO 96/00391	1/4/96	PCT				
	14	WO 97/36888	10/8/97	PCT				
	15	WO 99/40931	8/19/99	PCT				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

16	Nitecki et al., <i>J. Org. Chem.</i> , <b>33</b> (2):864-866 (1968)
17	Shimazaki et al., <i>Chem. Pharm. Bull.</i> , <b>35</b> :3527-3530 (1987)
18	Shimazaki et al., <i>J. Med. Chem.</i> , <b>30</b> :1709-1711 (1987)
19	Shimazaki et al., <i>Lipids</i> , <b>26</b> (12):1175-1178 (1991)
20	Smith et al., <i>Bioorg. Med. Chem Letters</i> , <b>8</b> :2369-2374 (1998)
21	Yoshida et al., <i>Prog. Biochem. Pharmacol.</i> , <b>22</b> :66-80 (1988)

EXAMINER	DATE CONSIDERED
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	